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UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Kwok Ng et al.

Serial No.: 10/645,022

Filed: August 21, 2003

Art Unit: 1753

Examiner: Not Yet Assigned

Title: SILICON NANOPARTICLES EMBEDDED IN POLYMER MATRIX

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This Supplemental Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

CERTIFICATION UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on 1-11, 2005.


Signature

Toni Stanley
(Printed name of person certifying)

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

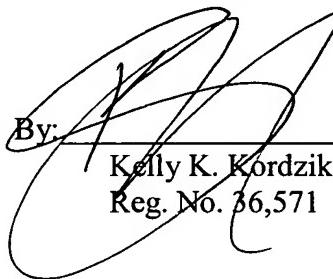
In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the U.S. patents and the pending patent applications, for which no copies are being submitted.

Respectfully submitted,

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12179-P112US 1/10/2005

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANTS' INFORMATION DISCLOSURE
STATEMENT**

Reference Designation

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
AAA						
ABA						
ACA						
ADA						
AEA						
AFA						
AGA						
AHA						
AIA						
AJA						
AKA						
ALA						
AMA						
ANA						
AOA						
APA						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation <u>Yes</u> <u>No</u>
AQA						
ARA						
ASA						
ATA						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial

- _____ AUA M. V. Wolkin, "Electronic States and Luminescence in Porous Silicon Quantum Dots: The Role of Oxygen," *Physical Review Letters*, Vol. 82, No. 1, January 4, 1999, pp. 197-200.
- _____ AVA Robert F. Mulligan et al., "Synthesis of Self-Assembled Metal-Oxide Nanostructures in a Diblock Copolymer Matrix and Integration onto Semiconductor Surfaces," *Materials Research Society*, Vol. 642, 2001, pp. J2.11.1 – J2.11.5.

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.